

# Council of Governors

## Chair of Audit & Risk Committee presentation June 2024

Anne Dray, Chair of Audit & Risk  
Committee and Non-Executive Director

# Alerts taken to the Board during 2023-2024:

- **Going Concern Report** – Preparation of Accounts – positive
- **Value for Money** - external audit report – positive overall
- **Emergency Preparedness Resilience & Response (EPRR)** – issues during the year with compliance following introduction of new requirements. Good progress has been made on addressing these. We expect to be c60% compliant by September which is in line with our plans - negative but an improving position
- **Internal Audit Progress** – good progress has been made in year with a large number of our internal audits receiving significant assurance; our completion of internal audit actions has been very strong and our Head of Internal Audit Opinion expected to be significant overall – positive overall
- **Impact of Electronic Patient Record (EPR) migration delays** – negative but an improving position
- **Subject Access Request (SARS) and Freedom of Information Requests (FOI)** – positive given action taken
- **Information Governance risks** - 169 incidents (4 reported to the Information Commissioner) and investigated with no further action taken. Good progress has been in year on improving take up of information governance training.

# Examples of NED Challenge:

- **EPRR** – and improving processes with a request for a clear trajectory (dates) for achievement of each action.
- **Risk** - the committee asked that Risk Oversight Group consider processes in place for oversight of risks at directorate level to ensure there is no re-emergence of a backlog of risks of 12 and above not yet escalated. It was agreed the Core Strategic Risk Internal audit this year will focus on directorate level oversight of risks.